Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

Sheet \_1\_ of \_1

In the Application of Timothy C. DUNN, et al.

Confirmation No. 3705

Serial No.: 10/626,896

Art Unit: 1641

Filed: July 24, 2003

Examiner: C. Chin

Title: METHOD AND DEVICE FOR PREDICTING PHYSIOLOGICAL VALUES

Exam.Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
u	CA-1	6,180,416	January 30, 2001	Kurnik , et al.			9/30/98
1	CA-2	6,326,160	December 4, 2001	Dunn, et al.			9/27/99
,	CA-3	6,633,772	October 14, 2003	Ford , et al.			8/10/01
	CA-4	6,653,091	November 25, 2003	Dunn , et al.			7/23/01
	CA-5	2002-0026110	February 28, 2002	Parris, Norman A., et al.			5/14/01
	CA-6	2002-0026111	February 28, 2002	Ackerman, Neil			8/10/01
	CA-7	2003-0050546	March 13, 2003	Desai, Shashi P., et al.			6/21/02
u	CA-8	2003-0235817	December 25, 2003	Bartkowiak, Miroslaw, et al.			3/21/03

Examiner: C. Chr.	Date Considered: 2//9/07
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## FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 1 of 2

In the Application of DUNN, et al.

Serial No.: 10/626,896

Art Unit: 1641

Filed: 07/24/2003

Examiner: C. Chin

Title: METHOD AND DEVICE PREDICTING PHYSIOLOGICAL VALUES

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
						0.000	
u	CA-1	6,233,471	May 15, 2001	Berner, et al.		ł	5/11/99
u	CA-2	6,088,608	Jul 11, 2000	Schulman, et al.			10/20/97
u	CA-3	5,771,890	Jun 30, 1998	Tamada			6/24/94
	CA-4	6,653,091	Nov 25,2003	Dunn, et al.			7/23/01
u	CA-5	6,424,847	Jul 23, 2002	Mastrototaro, et al.			2/23/00
	CA-6				<u> </u>		
	CA-7				<u> </u>	<del> </del>	

		•	FOREIGN PATENT	T DOCUMENTS			
Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation YES NO
	CB-1						

Examiner: Date Considered: 2/19/07		<i>C</i> .	Chin	Date Considered: 2/19/07	
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# FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 2 of 2

In the Application of DUNN, et al.

Serial No.: 10/626,896

Art Unit: 1641

Filed: 07/24/2003

Examiner: C. Chin

Title: METHOD AND DEVICE PREDICTING PHYSIOLOGICAL VALUES

	ОТН	IER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)
Exam. Init.	Ref. Desig.	Description
in	CC-1	Von Woedtke, et al, "In situ calibration of implanted electrochemical glucose sensors," Biomed. Biochim. Acta, 48(11-12), 943-952 (1989)
	CC-2	Poitout, V., et al., "Calibration in dogs of a subcutaneous miniaturized glucose sensor using a glucose meter for blood glucose determination," Biosensors & Bioelectronics 7:587-592 (1992)
CC-3		Velho, G., et al., "Strategies for calibrating a subcutaneous glucose sensor," Biomed. Biochim. Acta 48(11-12):957-964 (1989)
J	CC-4	Schmidtke, D.W., et al., "Accuracy of the One-Point in Vivo Calibration of 'Wired" Glucose Oxidase Electrodes Implanted in Jugular Veins of Rats in Periods of Rapid Rise and Decline of the Glucose Concentration," Anal. Chem. 70:2149-2155 (1998)
u	CC-5	Csőregi, E., et al., "Design, Characterization, and One-Point in Vivo Calibration of a Subcutaneously Implanted Glucose Electrode," Anal. Chem. 66:3131-3138 (1994)

	C. Cl.	Data Considered: 2/19/07	
Ladrinier Date Considered:	Examiner:	Date Considered: 2//9/07	

Atty Dkt No. 0235.06

COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

> FORM PTO-1449 (Modified)
> LIST OF PATENTS AND PUBLICATIONS
> FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 1 of 1

In the Application of DUNN et al.

Serial No.: 10/629,896

Art Unit: 1641

Filed: July 24, 2003

Examiner: C. Chin

Title: METHOD AND DEVICE PREDICTING PHYSIOLOGICAL VALUES

	U.S. PATENT DOCUMENTS										
Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	SubCl ass	Filling Date				
oc	BA-1	6,180,426									
u	BA-2	6,326.160									

			FOREIGN PATENT (	DOCUMENTS				
Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub- Class	Trans	lation NO

OTHER DOCUMENTS (including Author, Title, Date, Perlinent Pages, etc.)							
Exam. Init.	Ref. Desig.	Description					
	1 00	`					
Examiner:	<u> </u>	Date Considered:	2/19/07				

EXAMINER: Initial if citation considered wheth ir or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date Considered:

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#### FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet \_1\_ of \_3

In the Application of DUNN et al.

Serial No.: not yet assigned

Art Unit: 1641

Filed: concurrently herewith

Examiner: C. Chin

Title: METHOD AND DEVICE PREDICTING PHYSIOLOGICAL VALUES

			U.S. PATENT DOCUMEN	тѕ			
Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	SubCl ass	Filing Date
u	AA-1	5,279,543	January 18, 1994	Glikfeld et al.		<del> </del>	<del> </del>
1	AA-2	5,362,307	November 8, 1994	Guy et al.	<u> </u>		<b>†</b>
	AA-3	5,636,632	June 10, 1997	Bommamman et al.			
1	AA-4	5,730,714	March 24, 1998	Guy et al.			
u	AA-5	5,735,273	April 7, 1998	Kurnik et al.			
	AA-6	5,771,890	June 30, 1998	Tamada			
u	AA-7	5,827,183	October 27, 1998	Kumik et al.	<u> </u>		
u	AA-8	5,954,685	September 21, 1999	Tierney	<del> </del>	<u> </u>	<b></b>
u	AA-9	5,989,409	November 23, 1999	Kurnik et al.			
	AA-10	6,180,416	January 30, 2001	Kurnik et al			

C	0	Cl.		2/10/	
Examiner:			Date Considered:	7/17/07	

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## FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 2 of 3

In the Application of DUNN et al.

Serial No.: not yet assigned

Art Unit: 1641

Filed: concurrently herewith

Examiner: C. Chin

Title: METHOD AND DEVICE PREDICTING PHYSIOLOGICAL VALUES

FOREIGN PATENT DOCUMENTS											
Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub- Class	Translation YES NO				
cı	AB-1	WO 95/02357	January 26, 1995	PCT		<u> </u>		Γ			
	AB-2	WO 96/00109,	January 4, 1996	PCT							
	AB-3	WO 96/00110	January 4, 1996	PCT							
	AB-4	WO 96/35370	November 14, 1996	PCT							
	AB-5	WO 97/02811	January 30, 1997	PCT							
	AB-6	WO 97/10356	March 20, 1997	PCT							
	AB-7	WO 97/10499	March 20, 1997	PCT							
	AB-8	WO 97/24059	July 10, 1997	PCT							
	AB-9	WO 98/42252	October 1, 1998	PCT							
	AB-10	WO 99/58050	November 18, 1999	PCT							
	AB-11	WO 99/58051	November 18, 1999	PCT				<del></del>			
1	AB-12	WO 99/58190	November 18, 1999	PCT							
u	AB-13	WO 99/58973	November 18, 1999	РСТ				··			

Examiner:C. C.L.	Date Considered:	2/15/07
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### FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 3 of 3

In the Application of DUNN et al.

Serial No.: not yet assigned

Art Unit: 1641

Filed: concurrently herewith

Examiner: C. Chin

Title: METHOD AND DEVICE PREDICTING PHYSIOLOGICAL VALUES

Exam. Init.	Ref. Desig.	Description		
cu.	AC-1	Alcock et al., "Continuous Analyte Monitoring to Aid Clinical Practice," I.E.E.E.Engineering in Medicine & Biology 13:(3):319-325 (1994)		
1	AC-2	Barlow, T.W., "Feed-Forward Neural Networks for Secondary Structure Prediction," Journal of Molecular Graphics 13:175-183 (1995)		
	AC-3	Ghahramani, Z. And Wolpert, D.M., "Modular Decomposition in Visuomotor Learning," Natura 386(6623):392-395 (1997)		
	AC-4	Hamilton, J.D. and Susmel, Raul, "Autoregressive Conditional Heteroskedasticity and Changes in Regime," <i>Journal of Econometrics</i> 64:307-333 (1994)		
	AC-5	Hu et al., "Customized ECG Beat Classifier Using Mixture of Experts," Proceedings of the 1995 IEEE Workshop pp. 459-464 (1995)		
	AC-6	Jordan et al., "Hierarchical Mixtures of Experts and the EM Algorithm," Neural Comput. 6(2):181-214 (1994)		
	AC-7	Waterhouse, Steven Richard, "Classification and Regression Using Mixtures of Experts,"  Jesus College, Cambridge and Department of Engineering, University of Cambridge, Octobe 1997		
	AC-8	Waterhouse et al., in: D.S. Touretzky (Ed.) "Bayesian Methods for Mixtures of Experts," Advances in Neural Information Processing Systems MIT Press. Cambridge MA. 8:351-357 (1996)		
u	AC-9	Weigend et al., "Nonlinear Gated Experts for Time Series: Discovering Regimes and Avoiding Overfitting," International Journal of Neural Systems 6(4):373-399 (1995)		

Examiner:Date Considered: z/19/07	
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